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**FACSIMILE COVER SHEET****Examiner:** R. Schwadron **Group:** 1644**Date:** November 19, 2001**Client Code:** 1855.1004-002

NOV 20 2001

**Facsimile No.:** 703-305-3014

GROUP 1600

**From:** Robert H. Underwood

**Subject:** Paper: CPA Transmittal, Preliminary Amendment, Copy of Declaration  
Under 37 C.F.R. § 1.132, Copy of Transmittal of Executed  
Declaration Under 37 C.F.R. § 1.132 with Executed Declaration,  
Request for Corrected Filing Receipt with a copy of Filing Receipt  
with changes noted in red and a copy of page 1-2 of Preliminary  
Amendment , Petition for Extension of Time and Notice of  
Withdrawal of General Authorization to Charge Fees

**Docket No.:** 1855.1004-002**Applicants:** Michael J. Briskin *et al.***Serial No.:** 08/875,849**Filing Date:** September 8, 1997**Number of pages including this cover sheet:** 58**Please confirm receipt of facsimile:** Yes ☐ No ☐**Comments:**

BODMAM110DMAManage;271586;1

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HEW/RH/7a\j

November 19, 2001

PATENT APPLICATION

Docket No.: 1855.1004-002 (LKS94-04A2)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael J. Briskin, Douglas J. Ringler, Dominic Picarella and  
Walter Newman

Application No.: 08/875,849

Group: 1644

Filed: September 8, 1997

Examiner: R. Schwadron

For: Mucosal Vascular Addressins and Uses Thereof

CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being facsimile transmitted	
to the United States Patent and Trademark Office:	
on <u>11-19-01</u>	<u>Sandra J. J. J.</u>
Date	Signature
<u>Sandra J. J. J.</u>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT  
FOR UTILITY APPLICATION

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We hereby request that the following items of information be corrected in the Filing  
Receipt for the subject application received in this office on March 5, 1998.

08/875,849

-2-

The errors and corrections appear below.

Please add Walter Newman, Boston, MA to the Applicant section.

Please replace the Continuing Data As Claimed by Applicant section with the following:

This Appln is a 371 of PCT/US96/02153 02/12/96  
and a CIP of 08/523,004 09/01/95

Enclosed is a copy of the Filing Receipt with changes noted in red, and a copy of pages 1-2 of the Amendment, which is being filed concurrently and directs amendment of the Related Applications paragraph on page 1 of the specification.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Robert H. Underwood

Robert H. Underwood

Registration No.: 45,170

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: *November 19, 2001*

PTO 103X  
(Rev. 8-95)

## FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/875,849	09/08/97	1643	\$1,594.00	LKS94-04A2	20	29	9

DAVID E BROOK  
HAMILTON BROOK SMITH & REYNOLDS  
TWO MILITIA DRIVE  
LEXINGTON MA 02173

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

MICHAEL J. BRISKIN, LEXINGTON, MA; DOUGLAS J. RINGLER,  
REVERE, MA; DOMINIC PICARELLA, SUDBURY, MA.; *Walter Newman*  
*Boston, M*

## CONTINUING DATA AS CLAIMED BY APPLICANT-

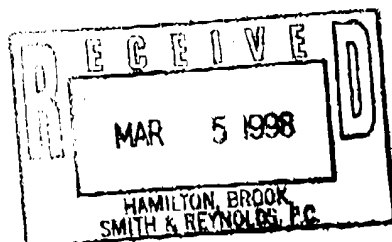
THIS APPLN IS A 371 OF PCT/US96/02153 02/12/96  
~~AND A CON OF 08/386,857 02/10/95~~  
AND A CON OF 08/523,004 09/01/95  
*CHP*

FOREIGN FILING LICENSE GRANTED 03/02/98

TITLE

MUCOSAL VASCULAR ADDRESSINS AND USES THEREOF

PRELIMINARY CLASS: 435



**HAMILTON, BROOK, SMITH & REYNOLDS, P.C.****CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL***Submit an original, and a duplicate for fee processing  
(Only for Continuation or Divisional applications under 37 C.F.R. 1.53(d))*

CHECK BOX, if applicable.

☐ **DUPLICATE**

Address to:

**Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231**

<i>Attorney Docket No. of Prior Application</i>	1855 1004-002 (LKS94-04A2)
<i>First Named Inventor</i>	Michael J. Briskin
<i>Examiner Name</i>	R. Schwadron
<i>Group / Art Unit</i>	1644
<i>Express Mail Label No.</i>	

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. 1.53(d) (continued prosecution application (CPA)) of prior application number 08/875,849, filed on September 8, 1997, entitled Mucosal Vascular Addressins and Uses Therefor

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.51(b), or (2) that national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on ☐ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
[ ]
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.13(a) or (b))		63 - 20* =	43	x \$ 18 =	\$ 774
INDEPENDENT CLAIMS (37 CFR 1.13(b) or (d))		11 - 3** =	8	x \$ 84 =	\$ 672
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (b))	\$ 740
Total of above Calculations =					\$ 2186
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =					\$
TOTAL =					\$ 2186
Petition for Extension of Time Fee (37 C.F.R. 1.17) =					\$
TOTAL =					\$ 2186

\* Reissue claims in excess of 20 and over original patent  
 \*\* Reissue independent claims over original patent

## 6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☒ Is no longer claimed.

7. ☐ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

8. ☐ A check is enclosed for \$[ ]. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

9. ☒ New Attorney Docket No. 1855.1004-002.

10. ☒ a. ☒ Receipt for Facsimile Transmitted CPA

b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

11. ☒ Other: Request for Corrected Filing Receipt with a copy of Filing Receipt with changes noted in red and a copy of page 1-2 of preliminary amendment, copy of Declaration Under 37 C.F.R. § 1.132 and a copy of Transmittal of Executed Declaration Under 37 C.F.R. § 1.132 with Executed Declaration, Petition for Extension of Time

and Notice of Withdrawal of General Authorization to Charge Fees

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

## 12. NEW CORRESPONDENCE ADDRESS

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

## 13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>Robert H. Underwood</i>	Date	November 19, 2001
Submitted by Typed or Printed Name	Robert H. Underwood	Reg. Number	45,170

PTO/SS-25A (Rev. 8)  
Approved for use through 09/30/2000 GW 3 0521-0532  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

If this RECEIPT is included with a request for a CPA filed by facsimile transmission, it will be date stamped and mailed to the ADDRESS in item 1.

## 1. ADDRESS

Applicant's Mailing Address for this receipt must be CLEARLY PRINTED or TYPED in the box below.

Helen E. Wendler, Esq.  
Hamilton, Brook, Smith & Reynolds, P.C.  
530 Virginia Road  
P.O. Box 9133  
Concord, MA 01742-9133

## RECEIPT

## FOR

## FACSIMILE TRANSMITTED

## CPA

(To accompany a request for  
a Continued Prosecution Application (CPA)  
under 37 CFR 1.53(d)  
filed by facsimile transmission)

**NOTE:** By this receipt, the PTO (a) acknowledges that a request for a CPA was filed by facsimile transmission on the date stamped below by the PTO and (b) verifies only that the application number provided by the applicant on this receipt is the same as the application number provided on the accompanying request for a CPA. This receipt CANNOT be used to acknowledge receipt of any paper(s) other than the request for a CPA.

## 2. APPLICATION IDENTIFICATION:

(Provide at least enough information to identify the application)

## a. For prior application

Application No: ...08/875,849...  
Filing Date: September 8, 1997  
Title: Mucosal Vascular Addressins and Uses Thereof  
Attorney Docket No: 1855.1004-002 (LKS94-04A2)  
First Named Inventor: Michael J. Briskin

## b. For instant CPA application

New Attorney Docket No: 1855.1004-002  
(if applicable)

The PTO date stamp, which appears in the box to the right, is an acknowledgement by the PTO of receipt of a request for a CPA filed by facsimile transmission on the date indicated below.

(THIS AREA FOR PTO DATE STAMP USE)

PTO HANDLING INSTRUCTIONS:

Please stamp area to the right with the date the complete transmission of the request for a CPA was received in the PTO and also include the PTO organization name that provided the date stamp (stamp may include both items). Verify that the application number provided by applicant on this receipt is the same as the application number provided by applicant on the request for a CPA accompanying this receipt. If there is an inconsistency between the application number provided on this receipt and the request for a CPA, strike through the inconsistent application number provided on this receipt and insert the correct application number, if possible. Then place in a window envelope and mail.

**Caution:** Your Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the request of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.